

Parametric Quantifiers for Dependent Type Theory

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Parametricity

- Type variable is **parametric** if **only used for type-checking**
⇒ free well-behavedness theorems.
- Well-studied in System F, System F ω , Haskell, ...

Parametricity in dependent types

- **Some** parametricity results carry over,
Takeuti (2001), Bernardy, Jansson and Paterson (2012), Krishnaswami and Dreyer (2013), Atkey, Ghani and Johann (2014)
- Some can be made provable **internally**,
Bernardy, Coquand and Moulin (2015), Guilhem Moulin's PhD (2016)
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Results

- We formulate a **sound** dependent type system ParamDTT.
- We carry over “the” **remaining theorems** metatheoretically.
- We allow proving **additional theorems internally**.

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Parametricity, intuitively

In System F, $F\omega$, Haskell, . . . , **type parameters** are parametric.

- Only used for type-checking,
- Not inspected (e.g. no pattern matching),
- Same algorithm on all types.

Enforced by the type system.

Example

`flatten` : $\forall X. \text{Tree } X \rightarrow \text{List } X$

By parametricity:



irrespective of implementation.

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$$\begin{array}{ccccc} A & & \text{Tree } A & \xrightarrow{\text{flatten}} & \text{List } A \\ f \downarrow & & \downarrow \text{Tree } f & & \downarrow \text{List } f \\ B & & \text{Tree } B & \xrightarrow{\text{flatten}} & \text{List } B \end{array}$$

irrespective of implementation.

Theorem

$$(A \rightarrow B) \cong \left(\underbrace{\forall X. (X \rightarrow A)}_{\text{For any representation } (X, r) \text{ of } A} \rightarrow (X \rightarrow B) \right)$$

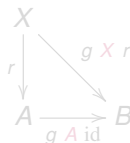
Proof:

(\rightarrow) $h \mapsto \lambda X. \lambda r. h \circ r$.

(\leftarrow) $g \mapsto g \ A \ \text{id}$.

(src) refl

(tgt) Prove: $g \ X \ r \ x = g \ A \ \text{id} \ (r \ x)$.



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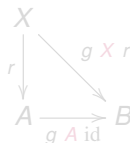
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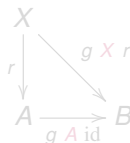
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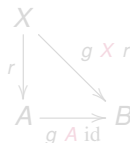
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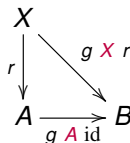
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Lemma

If $g : \forall X. (X \rightarrow A) \rightarrow (X \rightarrow B)$
then $g \ X_0 \ r_0 \ x_0 = g \ A \ \text{id} \ (r_0 \ x_0)$.

Rel. param.: A sound scheme for proving parametricity theorems.
Idea: **Related things map to related things.**

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IDENTITY EXTENSION LEMMA (IEL)

This is a **metatheoretical** scheme for System F, System $F\omega$, ...

- Can we do this for **dependent types**?
- Can we do this **internally** in dependent types?

Π is not parametric

System F:

$$\forall X.(X \rightarrow A) \rightarrow (X \rightarrow B).$$

Dependent types:

$$\Pi(X : \mathcal{U}).(X \rightarrow A) \rightarrow (X \rightarrow B).$$

Suppose $B = \mathcal{U}$:

$$\text{leak} : \Pi(X : \mathcal{U}).(X \rightarrow A) \rightarrow (X \rightarrow \mathcal{U})$$

$$\text{leak } X \text{ } r \text{ } x = X.$$

Representation type is returned as data!

In existing work: \mathcal{U} violates identity extension lemma (IEL).

Takeuti (2001), Bernardy, Jansson and Paterson (2012), Krishnaswami and Dreyer (2013), Atkey, Ghani and Johann (2014)

Our solution (syntax-side): Keep track of how we use variables.

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Adding parametric quantifiers

Non-parametric quantifiers

Non-parametric functions

$$f : \prod(x : A).B x, \quad f : A \rightarrow B$$

can use argument **as data**.

$$\frac{A : \mathcal{U} \quad B : A \rightarrow \mathcal{U}}{\prod(x : A).B x : \mathcal{U}}$$

Non-parametric pairs

$$p : \sum(x : A).B x, \quad p : A \times B$$

Parametric quantifiers

Parametric functions

$$f : \forall(x : A).B x$$

cannot inspect argument: it is used **only for type-checking**.*

$$\frac{A : \mathcal{U} \quad B : A \rightarrow \mathcal{U}}{\forall(x : A).B x : \mathcal{U}}$$

Parametric pairs (packs)

$$p : \exists(x : A).B x$$

*if all elements of A are related.

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The following is now ill-typed:

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since we cannot use X as data.

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The following is now ill-typed:

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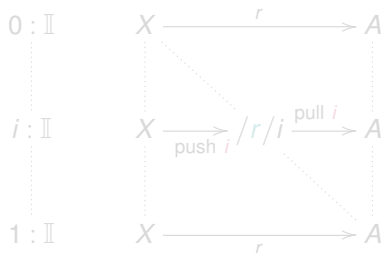
$$\text{leak } X \ r \ x = X,$$

since we cannot use X as data.

An internal proof

Tools:

- Relational interval “type”:
 $0 \curvearrowright 1 : \mathbb{I}$ (cf. Bernardy, Coquand and Moulin (2015), Cohen, Coquand, Huber, Mörtberg (2016))
- Graph type of $r : X \rightarrow A$
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(not a primitive)



Lemma

If $g : \forall X. (X \rightarrow A) \rightarrow (X \rightarrow B)$
then $g \ X \ r \ x =_B g \ A \ \text{id} \ (r \ x)$.

Proof:

We define: $p : \forall (_ : \mathbb{I}). B$

Semantically: $0 \curvearrowright 1 \Rightarrow p \ 0 = p \ 1$

$p \ i = g \ (/r/i) \ (\text{pull } i) \ (\text{push } i \ x)$

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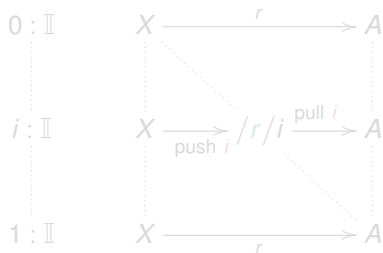
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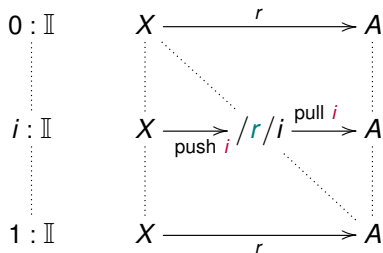
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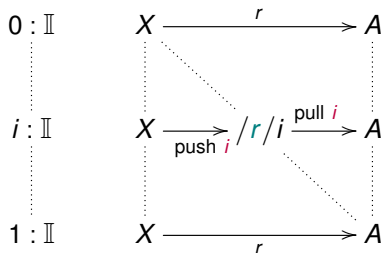
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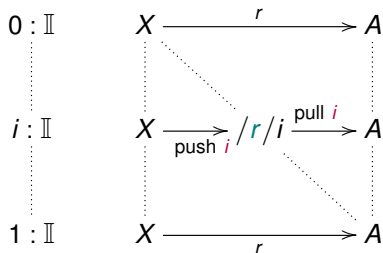
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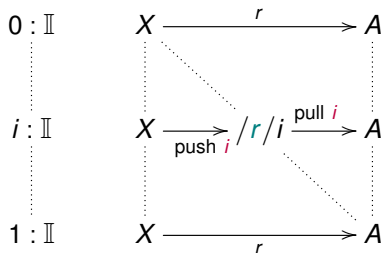
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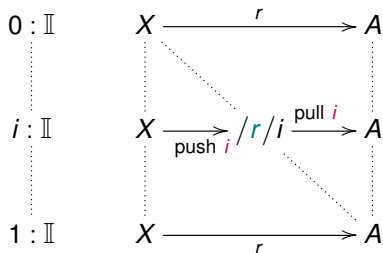
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The framework:

- Type system **ParamDTT** with Π and Σ , \forall and \exists ,
- **Soundness** using ‘bridge/path cubical sets’ (higher-dimensional labelled reflexive graphs),
- We **extend Agda** with support for ParamDTT,

Results:

- **Stronger internal** parametricity system,
 - but not (yet?) fully iterated,
- We show **internally** that **Church encoding** of data (e.g. lists) and codata (e.g. streams) works,
 - up to predicativity issues,
- We support **sized types**:
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Thanks!

Related talks:

Normalization by Evaluation for Sized Dependent Types
Abel, Vezzosi, Winterhalter – Up next

A Fibrational Framework for Substructural and Modal Logics
Licata, Shulman, Riley – 13h @ FSCD

Questions?

Church initial algebras

Assume level-preserving functor F .

$$\text{Mu}_\ell = \forall (X : \mathcal{U}_\ell). (FX \rightarrow X) \rightarrow X.$$

$$\text{mkMu}_\ell : F\text{Mu}_\ell \rightarrow \text{Mu}_\ell.$$

$$\text{fold}_\ell A \text{ mkA} = \lambda m. (m A \text{ mkA}) : \text{Mu}_\ell \rightarrow A$$

$$\downarrow_\ell = \lambda m. m|_{\mathcal{U}_\ell} : \text{Mu}_{\ell+1} \rightarrow \text{Mu}_\ell$$

Theorem (Initiality of Mu up to \downarrow)

For any B , $\text{mk}B$ and any algebra morphism $f : \text{Mu}_\ell \rightarrow B$:

$$\begin{array}{ccc} \text{Mu}_{\ell+1} & & \\ \downarrow \downarrow_\ell & \searrow \text{fold}_{\ell+1} B \text{ mk}B & \\ \text{Mu}_\ell & \xrightarrow{f} & B \end{array}$$

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To build a fixpoint $\text{List } A$ of $(\text{Unit} + A \times \sqcup)$:

- By well-founded induction on $n : \text{Size}$, build $\widehat{\text{List } A} n \cong \text{Unit} + A \times (\exists m < n. \widehat{\text{List } A} m)$,
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Parametricity: side bounds are hidden

Works for finitely branching container functors (even indexed):

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Also final co-algebras (e.g. streams).

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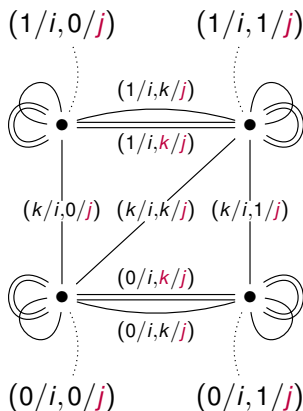
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Example of a bridge/path cubical set

The context $(i : \prod \mathbb{I}, j : \forall \mathbb{I})$ as a bridge/path cubical set.

legend: $\bullet \xrightarrow{\text{bridge}} \bullet$ $\bullet \xrightarrow{\text{path}} \bullet$



Related work

citation	source	target	journey	model	IEL proof
Reynolds, 1983	Sys F			set th.	yes
Abadi, Cardelli, Curien, 1993	Sys F	Sys \mathcal{R}	external		yes
Plotkin & Abadi, 1993	Sys F	Sys F + logic	external		yes
Wadler, 2007	Sys F	Sys F + logic	external		yes
Takeuti, 2001	$\mathcal{X} \in \lambda\text{-cube}$	$\mathcal{Y} \in \lambda\text{-cube}$	external		for small types
Bernardy, Jansson, Paterson, 2012	any PTS	other PTS	external		no
Krishnaswami & Dreyer, 2013	dependent types			Q-PER	only some corollaries
Atkey, Ghani, Jo- hann, 2014	dependent types			presh.	for small types
Bernardy, Co- quand, Moulin, 2015	dependent types + param. operators	same as source	internal	presh.	no
This work	dependent types + param. operators, \forall, \exists	same as source	internal	presh.	yes

Parametricity, Shape-irrelevance, irrelevance

4 functors on bridge/path cubical sets:

- id Non-parametricity (continuity)
- ‡ Parametricity
- Shape-irrelevance
 - Irrelevance

such that $\ddagger \circ (\bullet\bullet) = \bullet$.

Abel et al. have: $\llbracket \text{Size} \rrbracket = \llbracket \mathbb{N} \rrbracket$.

We have: $\llbracket \text{Size} \rrbracket = \bullet\bullet \llbracket \mathbb{N} \rrbracket$. Hence, $\ddagger \llbracket \text{Size} \rrbracket = \bullet \llbracket \mathbb{N} \rrbracket$.

ParamDTT	Abel	$\llbracket \text{domain} \rrbracket$
$\prod (i : \text{Size}). A i$	$\bullet\bullet (i : \text{Size}) \rightarrow A i$	$\bullet\bullet \llbracket \mathbb{N} \rrbracket$
$\forall (i : \text{Size}). A i$	$\bullet (i : \text{Size}) \rightarrow A i$	$\bullet \llbracket \mathbb{N} \rrbracket$